

## United States Patent A



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov
ATTORNEY DOCKET NUMBER

09/997,807

11/30/2001

Jay Short

**DVSA-1005US** 

#5

**CONFIRMATION NO. 6627** 

FORMALITIES LETTER

\*OC000000007527994\*

KNOBLE & YOSHIDA, LLC Eight Penn Center 1628 John F. Kennedy Blvd Philadelphia, PA 19103

Date Mailed: 02/25/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/22/2002 MARNOL 00000013 500462

PART - COPY TO BE RETURNED WITH RESPONSE

01 FC:203

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05/07/2002 BNGUYEN1 00000008 09997807

01 FC:205 02 FC:203 65.00 OP 162.00 OP MAY 0 3 2002 2

PATENT APPLICATION Attorney Docket No.: DVSA-1005US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Short et al.	)
U.S. Application No.: 09/997,807	) Group Art Unit: 1646
Filed: November 30, 2001	) Examiner: Unknown
For: Method of Making a Protein Polymer and Uses of The Polymer	) ) )

#### TRANSMITTAL LETTER FOR <u>AMENDMENT</u>

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith please find the following:

- X Preliminary Amendment (38 pgs)
- X Response to Notice to File Missing Parts Nonprovisional Application (2pgs)
- X Declaration and Power of Attorney executed by Jay Short (3pgs)
- X Declaration and Power of Attorney executed by Eric Mathur, W. Michael Lafferty, Nelson Barton and Kevin Chow
- X Assignment Cover Sheet
- X Assignment (4pgs)
- X Check in the amount of \$162.00 for the presentation of 18 extra claims.
- X Check in the amount of \$65.00 surcharge for late filing of Declaration and Power of Attorney
- X Check in the amount of \$40.00 for the Assignment recordation.

CLAIMS	Number Originally Filed	Number Extra Originally Paid	Number Extra by Amendment	RATE SMALL ENTITY	RATE	TOTAL
Total						
Claims	112 -20 =	92	18	X \$ 9.00	X \$ 18.00	\$162.00
Independent					1 +	1.02.00
Claims	30 - 3 =	27	0	X \$ 42.00	X \$ 84.00	0.00
Multiple Dependent Claims (if applicable)		olicable)		+ \$ 140.00	+ \$280.00	1
						\$162.00

#### Certificate of Mail Under 37 CFR 1.8

Date: April 25, 2002

I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name of person mailing correspondence

Signature of person mailing correspondence

The Commissioner is hereby authorized to charge any additional fees which may be required under 37CFR 1.16 or credit any overpayment to Deposit Account No. 50-0462. A duplicate copy of this sheet is enclosed.

Date

Kevin J. Dunleavy Registration No. 32,024

Customer No. 21302 KNOBLE & YOSHIDA, LLC. Eight Penn Center 1628 John F. Kennedy Blvd. Suite 1350 Philadelphia. PA 19103

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Attorney Docket No.: DVSA-1005US

MAY 0 3 2002

## IN THE UNITED STATES TATE AND TRADEMARK OFFICE

In re application of:

Jay Short, Eric J. Mathur, W. Michael Lafferty, Nelson Barton and Kevin Chow

Application No.: 09/997,807

Filed:

November 30, 2001

For: Method of Making A Protein Polymer and

Uses of the Polymer

Commissioner for Patents BOX MISSING PARTS Washington, D.C. 20231 Group Art Unit: 1646

Examiner:

Not Yet Assigned

### RESPONSE TO NOTICE TO FILE MISSING PARTS NONPROVISIONAL APPLICATION Filed Under 37 CFR 1.53(b)

Dear Sir:

In response to the Notice to File Missing Parts – Nonprovisional Application filed under 37 CFR 1.53(b), dated 25 February 2002, the deadline for response to which is due 25 April 2002, Applicants respectfully submit the following:

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8** 

Date: 26 April 2002

I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 in an envelope addressed to the Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Name of person mailing correspondence

Signature of person mailing correspondence

1. Declaration and Power of Attorney executed by Jay Short;

2. Declaration and Power of Attorney executed by Messrs. Mathur; Lafferty; Barton and Chow; and

3. Copy of the Notice to File Missing Parts of Nonprovisonial Application Filed Under 37 CFR 1.53(b).

Submitted concurrently with this response is an Assignment executed by each of the inventors to Diversa Corporation. Diversa Corporation is entitled to claim small entity status. A check in the amount of \$65.00 is enclosed for the surcharge for the late filing of the Declaration and Power of Attorney. The Commissioner is authorized to charge any additional fees associated with this response or credit any overpayment, to Deposit Account No. 50-0462.

Respectfully submitted,

Date: 4/25/02

Kevin J. Dunleavy

Registration No. 32,024

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